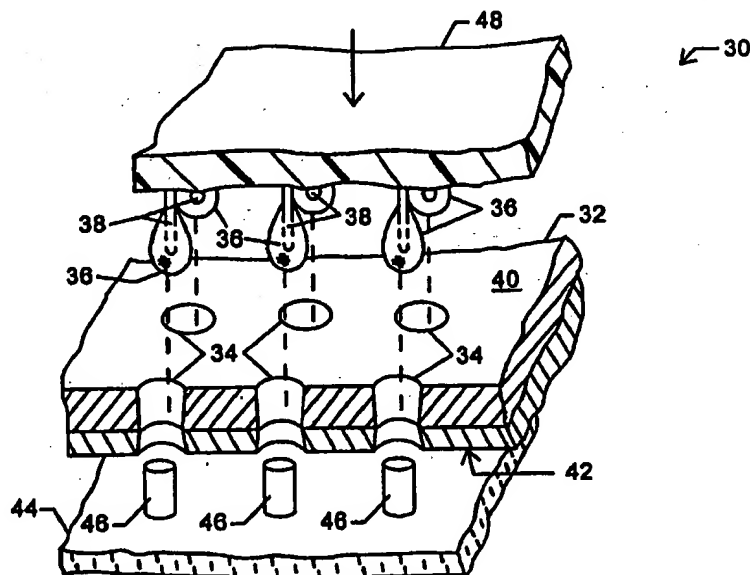




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>G01N 27/26, 27/447</b>	<b>A1</b>	(11) International Publication Number: <b>WO 98/55852</b> (43) International Publication Date: 10 December 1998 (10.12.98)
(21) International Application Number: <b>PCT/US98/11667</b> (22) International Filing Date: <b>4 June 1998 (04.06.98)</b> (30) Priority Data: <b>08/870,944</b> <b>6 June 1997 (06.06.97)</b> <b>US</b> (63) Related by Continuation (CON) or Continuation-in-Part (CIP) to Earlier Application <b>US</b> <b>08/870,944 (CON)</b> Filed on <b>6 June 1997 (06.06.97)</b> (71) Applicant (for all designated States except US): <b>CALIPER TECHNOLOGIES CORP. [US/US]; 1275 California Avenue, Palo Alto, CA 94304 (US).</b> (72) Inventors; and (75) Inventors/Applicants (for US only): <b>SUNDBERG, Steven, A. [US/US]; 125 Merced Avenue, San Francisco, CA 94127 (US). PARCE, J., Wallace [US/US]; 754 Los Robles Avenue, Palo Alto, CA 94304 (US). CHOW, Calvin, Y., H. [US/US]; 455 Minoca Road, Portola Valley, CA 94028 (US).</b>	(74) Agents: <b>BARRISH, Mark, D. et al.; Townsend and Townsend and Crew LLP, 8th floor, Two Embarcadero Center, San Francisco, CA 94111-3834 (US).</b> (81) Designated States: <b>AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</b> Published With international search report.	

(54) Title: MICROFABRICATED STRUCTURES FOR FACILITATING FLUID INTRODUCTION INTO MICROFLUIDIC DEVICES



## (57) Abstract

The microfluidic flow introduction system (30) includes a microfluidic substrate (32) having an array of through-hole ports (34). Samples and other fluids are transferred into through-hole ports (34) as drops on the outer surfaces of a corresponding array of pins (38). Through-holes (34) extend entirely through substrate (32) from an upper surface (40) to a lower surface (42). Drops will wick into through-hole ports (34) and will be restrained within the through-hole ports by capillary forces between the fluid and the surrounding ports. A fluid removal system (44) includes rods (46) which facilitate decanting the fluid from the through-hole ports (34).

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

## MICROFABRICATED STRUCTURES FOR FACILITATING FLUID INTRODUCTION INTO MICROFLUIDIC DEVICES

### BACKGROUND OF THE INVENTION

The present invention relates generally to microfluidic systems and devices and methods for their use. More particularly, the present invention provides structures and methods which facilitate the introduction of fluids into devices having microfluidic channels.

Considerable work is now underway to develop "microfluidic" systems, particularly for performing chemical, clinical, and environmental analysis of chemical and biological specimens. The term microfluidic refers to a system or device having a network of chambers connected by channels, in which the channels have mesoscale dimensions, e.g., having at least one cross-sectional dimension in the range from about 0.1  $\mu\text{m}$  to about 500  $\mu\text{m}$ . Microfluidic substrates are often fabricated using photolithography, wet chemical etching, and other techniques similar to those employed in the semiconductor industry. The resulting devices can be used to perform a variety of sophisticated chemical and biological analytical techniques.

Microfluidic analytical systems have a number of advantages over conventional chemical or physical laboratory techniques. For example, microfluidic systems are particularly well adapted for analyzing small sample sizes, typically making use of samples on the order of nanoliters and even picoliters. The substrates may be produced at relatively low cost, and the channels can be arranged to perform numerous specific analytical operations, including mixing, dispensing, valving, reactions, detections, electrophoresis, and the like. The analytical capabilities of microfluidic systems are generally enhanced by increasing the number and complexity of network channels, reaction chambers, and the like.

Substantial advances have recently been made in the general areas of flow control and physical interactions between the samples and the supporting analytical structures. Flow control management may make use of a variety of mechanisms, including the patterned application of voltage, current, or electrical power to the substrate (for example, to induce and/or control electrokinetic flow or electrophoretic separations). Alternatively, fluid flows may be induced mechanically through the application of differential pressure, acoustic energy, or the like. Selective heating, cooling, exposure to light or other radiation, or other inputs may be provided at selected locations distributed about the substrate to promote the desired chemical and/or biological interactions. Similarly, measurements of light or other emissions, electrical/electrochemical signals, and pH may be taken from the substrate to provide analytical results. As work has progressed in each of these areas, the channel size has gradually decreased while the channel network has increased in complexity, significantly enhancing the overall capabilities of microfluidic systems.

Unfortunately, work in connection with the present invention has found that the structures and methods used to introduce samples and other fluids into microfluidic substrates can limit the capabilities of known microfluidic systems. Fluid introduction ports provide an interface between the surrounding world and the microfluidic channel network. The total number of samples and other fluids which can be processed on a microfluidic substrate is now limited by the size and/or the number of ports through which these fluids are introduced to the microfluidic system. Known structures and methods for introduction of fluids into microfluidic systems also generally result in the transfer of a much greater volume of fluid than is needed for microfluidic analysis.

Work in connection with the present invention has also identified unexpected failure modes associated with known methods for introducing fluids to microfluidic channels. These failure modes may result in less than desirable overall

reliability for microfluidic systems. Finally, a need has been identified for some mechanism to accurately pre-position different fluids within a contiguous microfluidic network, so as to facilitate a variety of microfluidic analyses.

5           It would therefore be desirable to provide improved structures, systems, and methods which overcome or substantially mitigate at least some of the problems set forth above. In particular, it would be desirable to provide microfluidic systems which facilitated the transfer of small  
10 volumes of fluids to an introduction port of a microfluidic substrate, and to increase the number of fluids which can be manipulated within the substrate without increasing the overall size of the substrate itself. It would be particularly desirable to provide microfluidic introduction  
15 ports which could accept multiple fluid samples, and which were less prone to failure than known introduction port structures. Finally, it would be advantageous to provide microfluidic channel networks which are adapted to controllably pre-position differing liquids within adjoining  
20 channels for analysis of samples using differing fluid media.

#### SUMMARY OF THE INVENTION

25           The present invention overcomes at least some of the deficiencies of known structures and methods for introducing fluids into microfluidic substrates. In some embodiments, fluid introduction can be facilitated through the use of a port which extends entirely through the substrate structure. Capillary forces can be used to retain the fluid within such a  
30 through-hole port, rather than relying on gravity to hold the fluid within a cup-like blind hole. A series of samples or other fluids may be introduced through a single through-hole port by sequentially blowing the fluid out of the port, and replacing the removed fluid with different fluid.

35           Advantageously, an array of such through-hole ports can wick fluids from the surfaces of a corresponding array of pins, thereby avoiding the need for complex pipette systems. In another aspect, the present invention provides microfluidic

substrates having channels which vary in cross-sectional dimension so that capillary action spreads a fluid only within a limited portion of the channel network. In yet another aspect, the introduction ports of the present invention may  
5 include a multiplicity of very small channels leading from the port to a larger microfluidic fluid channel. These small channels filter out particles or other contaminants which might otherwise block the microfluidic channel.

10 In a first aspect, the present invention provides a microfluidic system comprising a substrate having an upper surface, a lower surface, and a microfluidic channel disposed between these surfaces. A wall of the substrate borders a port for receiving fluid. The port is in fluid communication with the channel, and the port is open at both the upper  
15 surface of the substrate, and at the lower surface of the substrate.

Generally, the port has a cross-sectional dimension which is sufficiently small so that capillary forces restrain the fluid within the port. The specific size of the port will  
20 depend in part on the properties of the material along its border. The capillary forces between the port and the fluid can also be used to transfer the fluid from the outer surface of a pin, rather than relying on a complex pipette system. The use of a through-hole port also facilitates the removal of  
25 the fluid from the port, as the fluid can be blown through the substrate with differential pressure, or simply displaced from the port with an alternate fluid. Optionally, the lower surface of the substrate may have a hydrophobic material to prevent the sample from spreading along the lower surface,  
30 while a hydrophilic rod or capillary tube may facilitate decanting of the fluid from the port.

In another aspect, the present invention provides a method for introducing a fluid into a microfluidic channel of a substrate. The method comprises transporting the fluid from  
35 outside the substrate to a port of the substrate through a first surface. The port extends through the substrate, and opens on a second surface of the substrate. The microfluidic channel of the substrate is in fluid communication with the

port between the first and second surfaces. The fluid is restrained within the port at least in part by a capillary force between the port and the fluid.

In yet another aspect, the present invention provides a method for introducing a plurality of samples into a microfluidic substrate. The method comprises forming a volume of each sample on an associated pin. The pins are arranged in an array, and the array of pins is aligned with an array of ports on the substrate. The aligned pins and ports are brought together so that the volumes transfer from the pins to associated ports of the substrate.

In yet another aspect, the present invention provides a method for introducing a plurality of fluids into a microfluidic substrate. The method comprises inserting a first fluid into a port of the substrate. A portion of the first fluid is transferred from the port into a microfluidic channel of the substrate. An unused portion of the first fluid is removed from the port, and a second fluid is inserted into the port.

The present invention also provides a microfluidic system comprising a body having a first channel and a capillary limit region. A second channel is in fluid communication with the first channel through the limit region. The second channel has a cross-sectional dimension adjacent the limit region which is larger than a cross-sectional dimension of the limit region. This difference in cross-sectional dimensions inhibits wicking from the limit region into the second channel.

Generally, a minimum cross-sectional dimension of the limit region is sufficiently smaller than a minimum cross-sectional dimension of the second channel so that differential capillary forces prevent wicking of fluid from the first channel, through the limit region, and into the second channel when there is no fluid in the second channel. Typically, the first channel and limit region end at the intersection with the second channel, while the second channel continues on past the intersection (like the top bar in a "T"). This structure is particularly advantageous to establish predetermined

boundaries between two different fluids within a microfluidic channel network, as a fluid which is introduced into the first channel will wick through the channel to the limit region, but will not wick beyond the limit region into the second channel.

5 A second different fluid can then wick through the second channel, beyond the intersection with the first limit region, thereby defining a boundary between the first and second fluids at the channel intersection.

10 In another aspect, the present invention provides a method for controllably distributing fluids within microfluidic substrates. The method comprises wicking a first fluid along a first channel and into a capillary limit region. The first fluid is prevented from wicking beyond the limit region and into a second channel by differential capillary  
15 force.

The present invention also provides a filtered microfluidic system comprising a substrate having a reservoir and a channel having a fluid microfluidic cross-section. A plurality of filter channels extend in parallel between the  
20 reservoir and the channel. Each filter channel has a cross-sectional dimension which is smaller than a fluid channel cross-sectional dimension of the microfluidic channel.

In yet another aspect, the present invention provides a method for filtering a fluid sample entering a  
25 microfluidic channel network. The method comprises introducing the fluid sample into a port, and passing the fluid sample through a plurality of filter channels which are arranged in parallel. The filter channels block particles having cross-sections which are larger than a maximum filter  
30 particle size. The filtered fluid sample is collected and transported through a microfluidic channel having a cross-section which is larger than the maximum filter size.

35

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a cross-sectional view of a typical microfluidic fluid introduction system, in which a pipette deposits fluid in a blind hole, and in which the fluid must



pass through a single microfluidic channel to enter the channel network.

Fig. 2 is a perspective view in partial cross-section showing a system for introducing an array of fluid samples to a corresponding array of through-hole ports, and also shows the use of hydrophilic rods to facilitate decanting the fluid samples from the through-hole ports, according to the principles of the present invention.

Fig. 3 is a cross-sectional view illustrating the use of capillary forces to retain a fluid sample within a through-hole port, and also illustrates the use of electrokinetic forces to transport the fluid within the microfluidic substrate.

Fig. 4 is a cross-sectional view showing the use of differential pressure and a hydrophilic rod to decant a sample from a through-hole port.

Fig. 5 is a plan view of an integrated reservoir and filter to prevent particles from blocking the microfluidic channels of the substrate.

Fig. 6 is a cross-sectional view showing the integrated port and filter of Fig. 5.

Fig. 7 schematically illustrates a microfluidic substrate having fluid stops which allow two different fluids to be positioned within the network, with the boundaries between the fluids being located at predetermined limit regions.

Figs. 8 and 9 are cross-sectional views showing the structure and operation of the fluid stop limit regions of Fig. 7.

#### DETAILED DESCRIPTION OF THE SPECIFIC EMBODIMENTS

A typical microfluidic introduction system and method is schematically illustrated in Fig. 1. A substrate generally comprises an upper portion 12 through which a port 14 has been drilled. A lower portion 16 is bonded to upper portion 12, the lower portion having a microfluidic channel 18 which is in fluid communication with port 14. A pipette 20

delivers fluid 22 to port 14, typically relying on pneumatic and/or hydraulic pressure to deposit the fluid in the port.

Work in connection with the present invention has identified failure modes which could prevent fluid 22 from reaching channel 18, thereby interfering with the intended operation of microfluidic substrate 10. In the first failure mode, any particles in the fluid, in the pipette, or in the port may flow with the fluid from the port toward channel 18. Particles which are not large enough to enter microfluidic channel 18 will be deposited at channel entrance 24, thereby blocking flow from the port to the channel. As microfluidic channels get smaller and smaller, there is a corresponding increase in sensitivity to even minute particles of contamination blocking the entrance 24 to port 18.

In another failure mode for typical microfluidic structures, the drops deposited by pipette 20 into port 14 may include bubbles, or air (or other gases) may be trapped within the port below the drop of fluid. Where an air bubble covers entrance 24 to port 18, the fluid will not enter the channel through capillary wicking.

As the advantages of microfluidic structures are generally enhanced by decreasing the size of the system components, it is generally desirable to decrease the size of port 14. For example, this allows the fabrication of microfluidic systems having larger numbers of fluid ports on a substrate of a given size. This would allow each substrate to simultaneously analyze larger numbers of samples, or may alternatively allow more complex chemical or biochemical analyses to be performed. Regardless, as the size of port 14 decreases, the likelihood that a bubble will be trapped under the fluid increases. In fact, port 14 may eventually be made small enough that fluid remains over the upper surface of the substrate without substantially entering port 14.

To overcome these failure modes and disadvantages, microfluidic fluid introduction system 30 includes a microfluidic substrate 32 having an array of through-hole ports 34, as illustrated in Fig. 2. Samples and other fluids are transferred into through-hole ports 34 as drops 36 on the

outer surfaces of a corresponding array of pins 38. Surprisingly, through-hole ports 34 extend entirely through substrate 32 from an upper surface 40 to a lower surface 42. Drops 36 will wick into through-hole ports 34, and will be  
5 restrained within the through-hole ports by capillary forces between the fluid and the surrounding ports. A fluid removal system 44 includes rods 46 which facilitate decanting the fluid from the through-hole ports, as will be described in more detail hereinbelow.

10 Pins 38 are mounted on a pin support structure 48. As pins 38 are aligned with through-hole ports 34, a large number of individual drops 36 may be transferred simultaneously from the pins to the through-hole ports by moving pin support structure 48 into close proximity with  
15 substrate 32. Drops 36 may be formed on pins 38 by dipping the pins in an associated array of fluid receptacles, by distributing the fluid through channels within fluid support structure 48, or the like. As only very small amounts of fluid are needed for the microfluidic analysis, the size of  
20 drops 36 can be quite small. By relying on pins to transfer drops on their outer surfaces (rather than individual pipettes with complex hydraulic or pneumatic systems), the cost and complexity of a system for transporting a large number of discrete drops of fluid into associated microfluidic ports can  
25 be substantially reduced. The pins may optionally be aligned in an array corresponding to at least a portion of a standard microtiter plate, e.g., 12 rows of 8 pins on 9 mm spacings, to facilitate preparing samples and other fluids with conventional chemical and biological techniques.

30 As drops 36 enter through-hole ports 34, they are drawn into the ports by both gravity and capillary forces. As through-hole ports 34 extend entirely through substrate 40, no air can be trapped between the drops and the bottom of the port. As the through-hole ports rely on capillary forces to  
35 retain the fluid, it should be noted that the orientation of the port can be changed from vertical to horizontal, angled, etc., so that the terms "upper surface" and "lower surface" are relative to an arbitrary orientation of the substrate.

Nonetheless, an at least partially vertical orientation may be preferred to facilitate transferring drops 36 on pins 38 to through-hole ports 34.

5 Generally, capillary forces draw fluids from larger channels to smaller channels. More specifically, capillary forces are largely controlled by the minimum cross-sectional dimension of a channel. For example, capillary forces will wick a fluid from a channel having a width of 100 micrometers and a depth of 20 micrometers into a contiguous channel having  
10 a width of 100 micrometers and a depth of 10 micrometers. Hence, simple capillary forces may optionally be relied on to draw fluid from through-hole port 34 into microfluidic channels within substrate 32 (not shown in Fig. 2), so long as the microfluidic channels have a smaller cross-sectional  
15 dimension than the smallest cross-sectional dimension of the through-hole ports. Additional or alternative mechanisms are also available for injecting fluid from the through-hole ports into the microfluidic channels of the substrate, including electrokinetics, differential pneumatic pressure, and the  
20 like.

As can be understood with reference to Fig. 3, application of an electrical current, potential, or charge between microfluidic channel 48 and a fluid 50 within through-hole port 34 can help inject the fluid into the channel.  
25 Typically, an electrical power source 52 will be coupled to a waste fluid reservoir electrode 54, and to a port electrode 56 (and/or pin 38). Port electrode 56 is coupled to fluid 50 through an electrical access port 57. The port access electrode and waste port electrode may be formed as conductors  
30 which extend downward into their associated ports from pin support structure 48, or from a separate electrical connector assembly, so that no electrodes need be incorporated into substrate 32. As used therein, the term port encompasses the structure of a microfluid substrate which allows access to the  
35 microfluidic channels for introducing fluids and other materials, and/or for electrically coupling electrodes to the fluid within the channels. The term reservoir encompasses ports and other structures of the substrate which accommodate

a significantly greater volume of fluid than the microfluidic channels. The use of electrokinetics as a transportation mechanism within microfluidic channels is more fully described in co-pending U.S. Patent Application Serial No. 08/760,446, filed December 6, 1996 (Attorney Docket No. 17646-000510), and in Published PCT Application No. WO 96/04547, the full disclosures of which are incorporated herein by reference. Similar transportation mechanisms may facilitate transfer of the fluid from the outer surface of pin 38 to through-hole port 34 by the application of an electrical field through the pin and port electrode 56. Alternatively, the through-hole ports of the present invention are also well suited for use with standard pipette systems.

Useful substrate materials include glass, quartz and silicon, as well as polymeric substrates, e.g., plastics. In the case of polymeric substrates, the substrate materials may be rigid, semi-rigid, or non-rigid, opaque, semi-opaque or transparent, depending upon the use for which they are intended. For example, devices which include an optical or visual detection element, will generally be fabricated, at least in part, from transparent materials to allow, or at least facilitate that detection. Alternatively, transparent windows of, e.g., glass or quartz, may be incorporated into the device for these types of detection elements.

Additionally, the polymeric materials may have linear or branched backbones, and may be crosslinked or non-crosslinked. Examples of particularly preferred polymeric materials include, e.g., polymethylmethacrylate (PMMA) polydimethylsiloxanes (PDMS), polyurethane, polyvinylchloride (PVC), polystyrene, polysulfone, polycarbonate, and the like.

The cross-sectional dimensions of through-hole port 34 will typically be selected to provide sufficient capillary force between fluid 50 and the port to at least help restrain the fluid within the port. Preferably, the cross-section will have a minimum diameter which is sufficient to induce a capillary force which will overcome the force of gravity (which pulls fluid 50 through the open bottom of the through-hole port). The specific minimum cross-sectional dimensions

of through-hole port 34 which will provide this capillary force will depend on the wettability of the material bordering the port, the fluid to be retained therein, the distance between the channel and the bottom of the substrate if the through-hole port has a verticle orientation, and the like. For example, through-hole ports in many plastic materials will be smaller than similar through-hole port structures in glass substrates, due to the higher wettability of glass.

Through-hole ports 34 will typically be drilled through substrate 32 with a circular cross-section, the cross-section of the through-hole port typically having a diameter of between about 0.1 mm and 5 mm, and ideally having a diameter within the range of from about 0.5 mm to 2 mm. Such holes may be drilled using "air abrasion", an erosion process which is similar to a precisely directed sandblast of the substrate material. Air abrasion services are commercially available from NYS Enterprises of Palo Alto, California. Alternatively, ultrasonic drilling or laser photoablation may be used to provide quite small ports through the substrate. In other embodiments, small carbide drill bits may mechanically drill thorough the substrate to provide through-hole ports having small enough cross-sectional dimensions to induce the desired capillary forces. Through-hole ports may also be formed during the substrate molding or embossing processes, particularly when the substrates comprise polymeric materials.

While the structures are here illustrated as having slightly tapering cross-sections, they may alternatively have constant diameters, or may decrease near one or both surfaces. The holes may be drilled through the entire substrate in one operation, or may alternatively be drilled independently through separate upper and lower portions of the substrate prior to bonding these portions together. The cross-section of the through-hole ports need not be the same through the upper and lower portions, and should be tolerant of some mismatch between the location and size of the openings formed in the upper and lower portions of the substrate. A wide variety of alternative port cross-sectional shapes may also be

used, with the diameter ranges given above generally defining the minimum cross-sectional dimension. For example rectangular (or any other arbitrary shape) ports may be formed in at least one portion of the substrate structure while the channels are formed by etching a fenestration through the substrate portion.

Regardless of the specific cross-section, the through-hole ports will preferably have a total volume between the upper and lower surfaces of the substrate of less than about 20  $\mu\text{l}$ , ideally having a volume of between about 0.5  $\mu\text{l}$  and 10  $\mu\text{l}$ . As the through-hole ports of the present invention generally facilitate the use of smaller sample volumes, they are particularly advantageous for use in drug discovery applications, such as those described in co-pending U.S. Patent Application Serial No. 08/761,575, filed December 6, 1996 (Attorney Docket No. 17646-000410), the full disclosure of which is incorporated herein by reference.

Referring now to Fig. 4, a particular advantage of through-hole ports 34 is that they facilitate the introduction of multiple fluids into a microfluidic network using a single port structure. Fluid 50 may be removed from through-hole port 34 by applying a differential gas pressure P over the top of substrate 32 (relative to the pressure below the substrate), effectively blowing the fluid out through the through-hole port. Optionally, rods 46 decant fluid 50 from the through-hole port when the pressure extends the fluid more than a distance D beyond lower surface 42. A hydrophobic coating 58 (e.g., a polytetrafluoroethylene such as Teflon™) helps prevent smearing of fluid 50 over lower surface 42 of substrate 32, thereby avoiding cross-contamination of fluid samples. Decanting may be enhanced by a hydrophilic coating 60 on the surface of rod 46, or alternatively by using decanting structures which have a capillary channel. Fluid removed from through-hole port 34 is collected in well 62, and the wells may optionally be connected by drains to a fluid disposal system.

While differential pressure is a particularly advantageous mechanism for simultaneously removing fluids from

multiple through-hole ports in a substrate, the present invention also encompasses other mechanisms for simultaneously or individually removing the samples, including electrokinetically distending the sample from lower surface 42 (as can be understood with reference to Fig. 3), displacing fluid 50 with an alternate fluid introduced into ports 34 through upper surface 40 (using a pipette, pins 38, or the like), inserting decanting structures into ports 34, and the like. In general, fluid 50 may be directly replaced by an alternate fluid for use in the fluidic network, or a cleaning or neutral solution may first be entered into through-hole port 34 to minimize cross-contamination of the sequentially introduced fluids. Regardless, the ability to sequentially introduce multiple fluids into a microfluidic network through a single port substantially enhances the effectiveness of that port as an interface between the microfluidic network and the surrounding world.

Referring now to Figs. 5 and 6, a filtered port 64 in substrate 32 is illustrated with a blind reservoir 66, but may alternatively be used with the through-hole port structure described hereinabove. Reservoir 66 is defined by a hole 68 drilled through upper portion 12 of substrate 32, while a microfluidic channel 18 has been imposed on lower portion 16. To prevent particles from blocking the entry to channel 18, a multiplicity of radial filter channels 70 lead from reservoir 66. Filter channels 70 transmit fluid from reservoir 66 to a header channel 72, which in turn opens to channel 18. However, particles larger than some maximum filter particle size (which will vary with the cross-section of the filter channel) will be left in the port. This prevents large particles from blocking channel 18.

Filter channel 70 has at least one smaller cross-sectional dimension than channel 18, the filter channel often being smaller in cross-sectional area than channel 18. Preferably, the filter channels 70 are individually sufficiently small to block entry of particulates which might impede flow through channel 18. However, there are a sufficient number of functionally parallel filter channels so



that the sum of the cross-sectional areas of all the filter channels together is at least as large as channel 18, ideally being substantially larger than channel 18 to minimize head loss through the filter structure. In fact, as filter

5 channels 70 may individually be blocked by particulates, the sum of the cross-sectional areas of the filter channels will determine the filter capacity. In other words, the more total cross-sectional area of filter channels, the more particulate matter the filter can remove from the flow before the filter

10 becomes blocked. Hence, the total cross-sectional area of all the filter channels together will preferably be in the range from about 2 to about 100 times larger than the cross-section of channel 18. Header channel 72 will typically be about the same size as channel 18.

15 Channel 18 will typically have a minimum cross-sectional dimension of between about 0.5 and 100  $\mu\text{m}$ . Filter channels 70 will generally be smaller than fluid channel 18, ideally having a minimum cross-sectional dimension of between about 10 and 50% of the minimum cross-sectional dimension of

20 channel 18. There will generally be between about 10 and 100 functionally parallel filter channels. Typical channel dimensions are about 10 micrometers deep and 70 micrometers wide for channel 18 and header channel 72, while the corresponding filter channels will typically be about 2

25 micrometers deep and 10 micrometers wide.

A wide variety of reservoir, filter channel, and header channel geometries might be used to prevent blockage of fluids as they enter fluid channel 18. For example, filter channels 70 may extend geometrically parallel to each other

30 from one side of reservoir 68 to a straight header channel normal to fluid channel 18. However, the radial filter geometry illustrated in Fig. 5 is preferred, as it minimizes the substrate surface area consumed by the filter.

Referring now to Figs. 7-9, it will be useful in

35 many microfluidic networks to pre-position different fluids within a microfluidic network at predetermined locations. For example, a microfluidic channel network 74 includes an electroosmotic channel 76 from which three electrophoretic

separation channels 78 extend. Electrophoretic channels 78 will preferably contain a separation solution including a polymer, while electroosmotic channel 76 will preferably be filled with a buffer solution to facilitate transportation of a fluid sample from filtered reservoir 64. Unfortunately, if all of the channels have uniform cross-sections, any fluid introduced into any of the reservoirs 64, 80, 82, or 84, will wick throughout channel network 74.

To limit the capillary wicking of a first solution 86 to electrophoretic channels 78, the first solution is introduced into one of the adjoining reservoirs 82, 84. First solution 78, which will be an electrophoretic polymer containing solution in our example, will wick along a cross-channel 88 and into each of electrophoretic channels 78. Furthermore, the first solution will wick along each of the electrophoretic channels toward electroosmotic channel 76. The air displaced from within the electrophoretic channels can escape through electroosmotic channel, and out through the adjoining ports.

To prevent the first fluid from filling the electroosmotic channel 76, a limit region 90 is disposed adjacent the junction of the two types of channels. Limit region 90 will have at least one cross-sectional dimension which is smaller than a cross-sectional dimension of the adjacent electroosmotic channel 76, the limit region ideally having a narrowest cross-sectional dimension which is smaller than the narrowest cross-sectional dimension of the electroosmotic channel. As a result, the first fluid will wick in to the limit region from electrophoretic channel 78, but differential capillary forces will prevent first fluid 86 from passing through limit region 90 and wicking into electroosmotic channel 76. The ratio of the minimum cross-sectional dimensions may again vary with the properties of the materials bordering the limit region and channels, with the limit region generally having a minimum dimension of less than 90% that of the channel. Typical electroosmotic and electrophoretic channel dimensions will be about 70  $\mu\text{m}$  wide by

10  $\mu\text{m}$  deep, while the corresponding limit regions may be about  
70  $\mu\text{m}$  wide by about 2  $\mu\text{m}$  deep.

5 A second fluid 92 introduced at reservoir 80 will  
wick through electroosmotic channel 76 past limit regions 90,  
thereby defining an interfluid boundary 94 substantially  
disposed at the interface between limit region 90 and  
electroosmotic channel 76. It should be noted that  
electroosmotic channel extends across limit regions 90 (rather  
10 than having a dead end at the limit region) to avoid trapping  
air between first fluid 86 and second fluid 92. As a result,  
the air within electroosmotic channel 76 is free to leave the  
opening provided at filtered reservoir 64, so that all of the  
channels of channel network 74 are substantially filled with  
fluid. Although this example has been described in terms of  
15 "electrophoretic" and "electroosmotic" channels, it will be  
appreciated that the present invention can be used in any  
application where it may be desirable to place different  
fluids within intersecting channel structures.

20 It should also be noted that second fluid 92, will  
wick into header channel 72 so long as the header channel is  
not significantly larger in its narrowest cross-sectional  
dimension than electroosmotic channel 76. Additionally, the  
buffer solution will proceed into the small filter channels 70  
from header channel 72. However, the buffer solution will  
25 generally not advance beyond filter channels 70 into reservoir  
66, as the filter channels effectively provide limit regions  
between the reservoir and the header channel. To prevent this  
"limit region" effect of the filter channels from inhibiting  
flow from the reservoir into the adjacent channel system, it  
30 will generally be preferable to introduce some fluid into the  
header and filter channels prior to introducing a fluid  
directly into reservoir 66. Similarly, fluid channel networks  
having a plurality of fluid introduction ports will generally  
include at least one unfiltered port structure. Otherwise, it  
35 might be difficult to advance any fluid into the network  
beyond the small filter channels surrounding each port.

While the exemplary embodiments of the present  
invention have been described in some detail, by way of

illustration and for clarity of understanding, a number of modifications, adaptations, and alternative embodiments will be obvious to those of skill in the art. For example, the present invention may be used with microfluidic structures  
5 that rely on pneumatic pressure or a vacuum to move materials within microfluidic channels. Therefore, the scope of the present invention is limited solely by the appended claims.

WHAT IS CLAIMED IS:

- 1           1.    A microfluidic system comprising:  
2                a substrate having an upper surface, a lower  
3 surface, and a microfluidic channel disposed between the upper  
4 surface and the lower surface;  
5                a wall in the substrate bordering a port for  
6 receiving a fluid, the port in fluid communication with the  
7 channel, the port open at the upper surface and at the lower  
8 surface of the substrate.
- 1           2.    A microfluidic system as claimed in claim 1,  
2 wherein a cross-section of the port is capable of inducing a  
3 capillary force in the fluid to help restrain the fluid within  
4 the port.
- 1           3.    A microfluidic system as claimed in claim 1,  
2 further comprising a first electrode in electrical contact  
3 with the channel, a second electrode adjacent the port, and a  
4 power source couplable to the first and second electrodes to  
5 inject material from the port to the channel  
6 electrokinetically.
- 1           4.    A microfluidic system as claimed in claim 1,  
2 further comprising a mechanism to transport the fluid to the  
3 port from outside the substrate, the transport mechanism  
4 including a pin which extends downward toward the port to  
5 transfer fluid on the pin to the port.
- 1           5.    A microfluidic system as claimed in claim 4,  
2 further comprising a surface disposed below the port and  
3 separated from the lower surface of the substrate for  
4 collecting and removing fluid from the port.
- 1           6.    A microfluidic system as claimed in claim 5,  
2 further comprising a rod extending upward from the surface  
3 toward the port to promote decanting of the fluid from the  
4 port.

1                   7. A microfluidic system as claimed in claim 6,  
2 wherein the lower surface of the substrate comprises a  
3 hydrophobic material, and wherein the rod comprises a  
4 hydrophilic material.

1                   8. A microfluidic system as claimed in claim 4,  
2 further comprising a plurality of ports and an associated  
3 plurality of transport mechanisms.

9. A microfluidic system as claimed in claim 1,  
further comprising a mechanism to transport the fluid to the  
port from outside the substrate, the transport mechanism  
comprising at least one micropipette.

1                   10. A method for introducing a fluid into a  
2 microfluidic channel of a substrate, the method comprising:  
3                   transporting the fluid from outside the substrate to  
4 a port of the substrate through an opening in a first surface,  
5 the port extending through the substrate and having an opening  
6 on a second surface of the substrate, the microfluidic channel  
7 of the substrate being in fluid communication with the port  
8 between the first and second surfaces; and  
9                   restraining the fluid within the port at least in  
10 part with a capillary force between the port and the fluid.

1                   11. A method as claimed in claim 10, further  
2 comprising electrokinetically injecting a material from the  
3 port into the channel.

1                   12. A method as claimed in claim 10, wherein the  
2 transporting step comprising advancing a pin downward toward  
3 the port from above the first surface so that a volume of the  
4 fluid on the pin wicks from the pin into the port.

1                   13. A method as claimed in claim 10, further  
2 comprising expelling the fluid from the port through the  
3 second surface.

1           14. A method as claimed in claim 13, further  
2 comprising transporting another fluid through the first  
3 surface and into the port.

1           15. A method as claimed in claim 10, further  
2 comprising simultaneously transporting a plurality of fluid  
3 samples into an associated plurality of ports which extend  
4 through the substrate, retaining each sample within an  
5 associated port at least in part with capillary force, and  
6 simultaneously expelling the samples from the ports through  
7 the second surface with a pressure differential.

1           16. A method as claimed in claim 10, further  
2 comprising applying a vacuum to draw the fluid from the port  
3 into the channel.

1           17. A method for introducing a plurality of samples  
2 into a microfluidic substrate, the method comprising:

3                 forming a volume of each sample on an associated  
4 pin, the pins arranged in an array;

5                 aligning the array of pins with an array of ports on  
6 the substrate; and

7                 bringing the aligned pins and ports together so that  
8 the volumes transfer from the pins to associated ports of the  
9 substrate.

1           18. A method for introducing a plurality of fluids  
2 into a microfluidic substrate, the method comprising:

3                 inserting a first fluid into a port of the  
4 substrate;

5                 transferring a portion of the first fluid from the  
6 port into a microfluidic channel of the substrate;

7                 removing an unused portion of the first fluid from  
8 the port; and

9                 inserting a second fluid into the port.

1           19. A method as claimed in claim 18, wherein the  
2 first fluid is inserted through a first surface of the

3 substrate and removed through a second surface of the  
4 substrate substantially opposite the first surface.

1 20. A method as claimed in claim 18, wherein fluid  
2 remains in the channel during removal of the first fluid and  
3 insertion of the second fluid.

1 21. A microfluidic system comprising:  
2 a substrate having a first microfluidic channel and  
3 a capillary limit region; and  
4 a second microfluidic channel in fluid communication  
5 with the first channel through the limit region, the second  
6 channel having a cross-sectional dimension adjacent the limit  
7 region which is larger than a cross-sectional dimension of the  
8 limit region to inhibit wicking from the limit region into the  
9 second channel.

1 22. A microfluidic system as claimed in claim 21,  
2 wherein a minimum cross-sectional dimension of the limit  
3 region is sufficiently smaller than a minimum cross-sectional  
4 dimension of the second channel that differential capillary  
5 forces prevent fluid from wicking from the first channel  
6 through the limit region and into the second channel when no  
7 fluid is present in the second channel.

1 23. A microfluidic system as claimed in claim 21,  
2 wherein the second channel includes a first end and a second  
3 end, wherein the limit region is disposed at an end of the  
4 first channel, and wherein the limit region intersects the  
5 second channel between the first and second ends.

1 24. A microfluidic system as claimed in claim 23,  
2 further comprising a first fluid which extends through the  
3 first channel and substantially through the capillary limit  
4 region, and a second fluid which is different than the first  
5 fluid, the second fluid disposed within the second channel.



1           25. A microfluidic system as claimed in claim 24,  
2 further comprising a plurality of first channels extending  
3 from a cross channel, each first channel being in fluid  
4 communication with the second channel through an associated  
5 limit region, the header channel, the first channels and the  
6 limit regions containing a polymer solution suitable for  
7 electrophoretic sample manipulation, the second channel  
8 containing a buffer fluid for electroosmotic manipulation of  
9 samples.

1           26. A method for controllably distributing fluids  
2 within microfluidic substrates, the method comprising:  
3           wicking a first fluid along a first channel and into  
4 a capillary limit region; and  
5           preventing the first fluid from wicking beyond the  
6 limit region and into a second channel with differential  
7 capillary force.

1           27. A method as claimed in claim 26, wherein the  
2 differential capillary force of the preventing step is  
3 produced by an increase in a minimum cross-sectional dimension  
4 from the limit region to the second channel.

1           28. A method as claimed in claim 26, further  
2 comprising wicking a second fluid along the second channel  
3 beyond an intersection of the second channel and the limit  
4 region to define an interface between the first fluid and the  
5 second fluid.

1           29. A filtered microfluidic system comprising a  
2 substrate having:  
3           a reservoir;  
4           a channel having a microfluidic fluid channel cross-  
5 section; and  
6           a plurality of filter channels, each filter channel  
7 extending between the reservoir and the channel, each filter  
8 channel having a cross-sectional dimension which is smaller  
9 than a cross-sectional dimension of the microfluidic channel.

1           30. A filtered microfluidic system as claimed in  
2 claim 29, wherein the filter channel cross-sectional  
3 dimensions are capable of preventing the transport of  
4 particles from the reservoir through the filter channels which  
5 are large enough to block the microfluidic channel.

1           31. A filtered microfluidic system as claimed in  
2 claim 30, wherein a sum of the cross-sections of the filter  
3 channel is larger than the cross-section of the fluid channel  
4 to minimize head loss when at least one of the filter channels  
5 is blocked.

1           32. A filtered microfluidic system as claimed in  
2 claim 31, wherein each filter channel has a first end and a  
3 second end, the first end opening to the reservoir, the second  
4 end opening to a header channel, the microfluidic channel  
5 being in fluid communication with the filter channels through  
6 the header channel.

1           33. A filtered microfluidic system as claimed in  
2 claim 32, wherein the filter channels extend radially from the  
3 reservoir.

1           34. A filtered microfluidic system as claimed in  
2 claim 33, wherein the header channel extends circumferentially  
3 around the reservoir.

1           35. A filtered microfluidic system as claimed in  
2 claim 29, wherein the microfluidic channel has a minimum cross  
3 sectional dimension of within the range from about 1  $\mu\text{m}$  to 100  
4  $\mu\text{m}$ , and wherein the filter channels each have a minimum cross-  
5 sectional dimension which is less than about 1/2 of the  
6 minimum cross-sectional dimension of the microfluidic channel.

1           36. A filtered microfluidic system as claimed in  
2 claim 29, further comprising a port in fluid communication  
3 with the microfluidic channel, wherein fluid can enter the

4 fluid channel from the port without passing through the filter  
5 channels.

1           37. A method for filtering a fluid sample entering  
2 a microfluidic channel network, the method comprising:  
3           introducing the fluid sample into a port;  
4           passing the fluid sample through a plurality of  
5 filter channels in parallel, the filter channels blocking  
6 particles having cross sections which are larger than a  
7 maximum filter particle size; and  
8           collecting the filtered fluid sample and  
9 transporting the filtered fluid sample through a microfluidic  
10 channel having a cross-section which is larger than the  
11 maximum filter size.

1           38. A method as claimed in claim 37, further  
2 comprising introducing another fluid through another port and  
3 advancing the other fluid through the fluid channel and the  
4 filter channels prior to introducing the fluid sample.

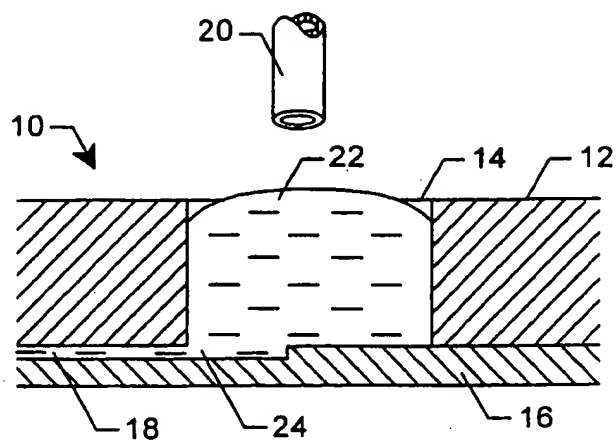


Fig. 1

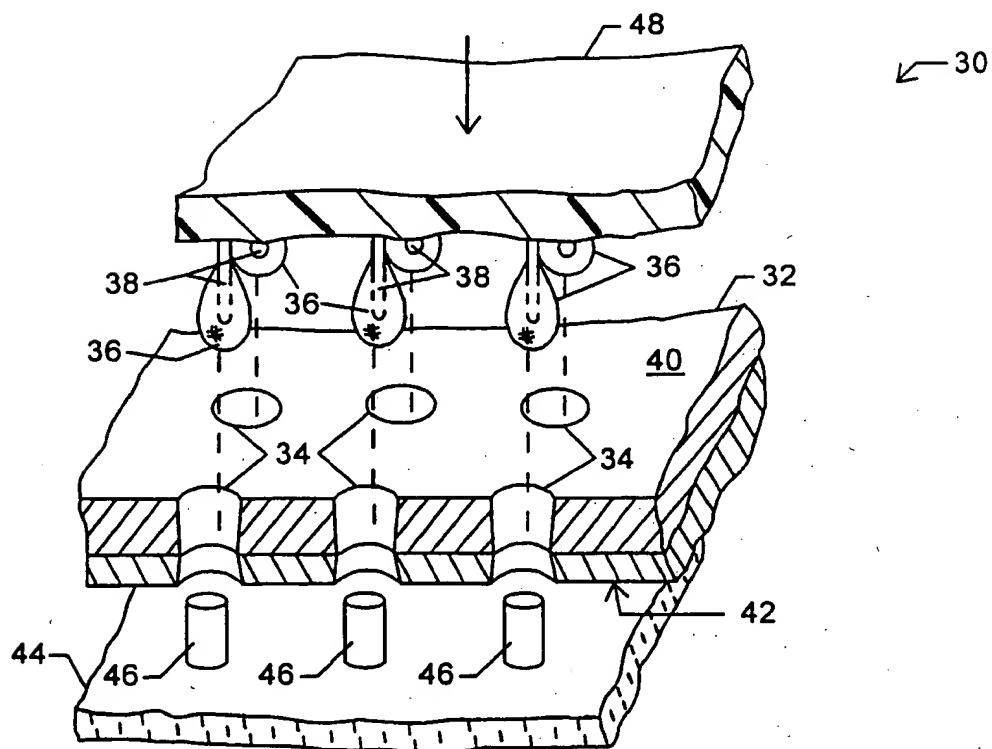


Fig. 2

2/4

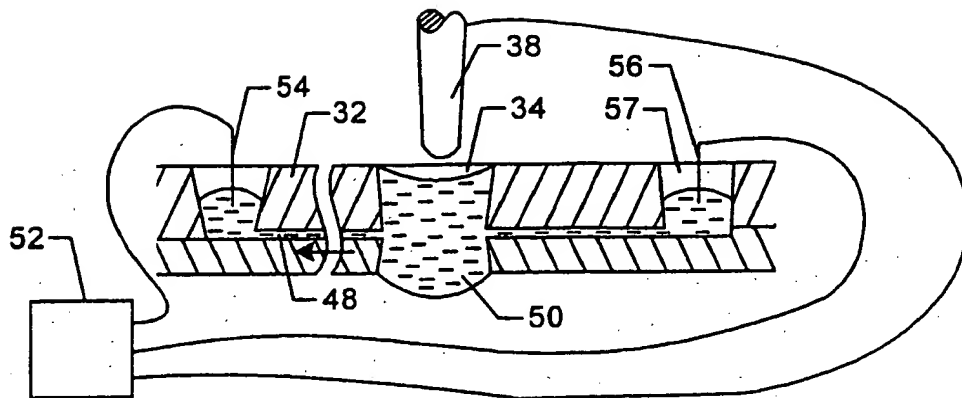


Fig. 3

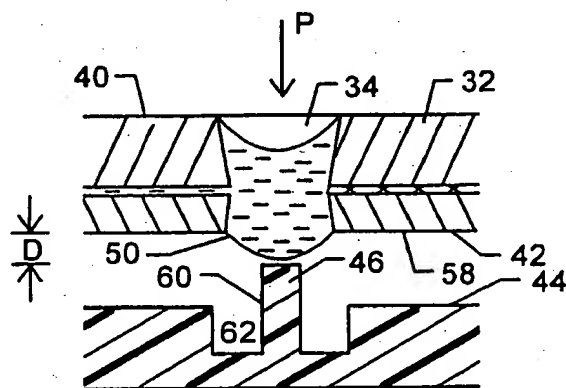


Fig. 4

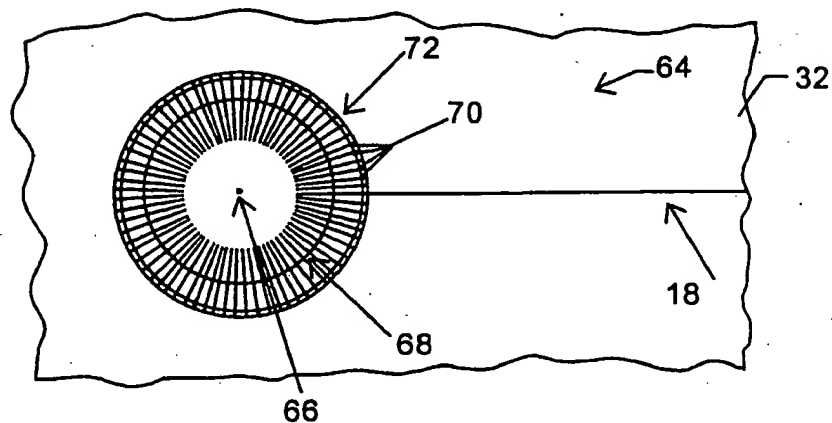


Fig. 5

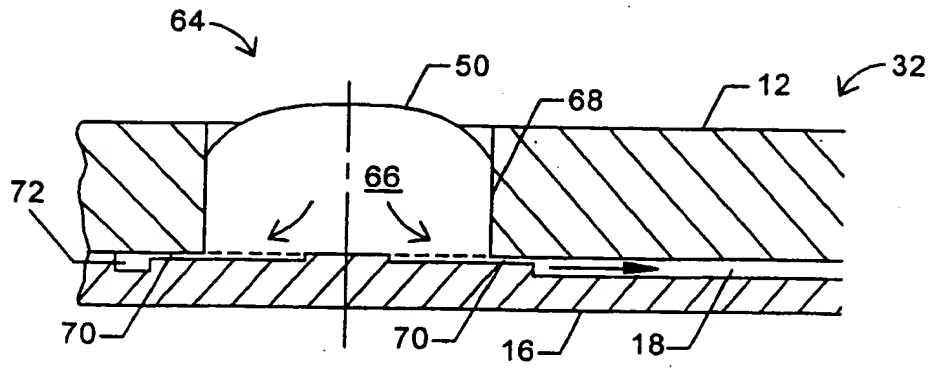


Fig. 6

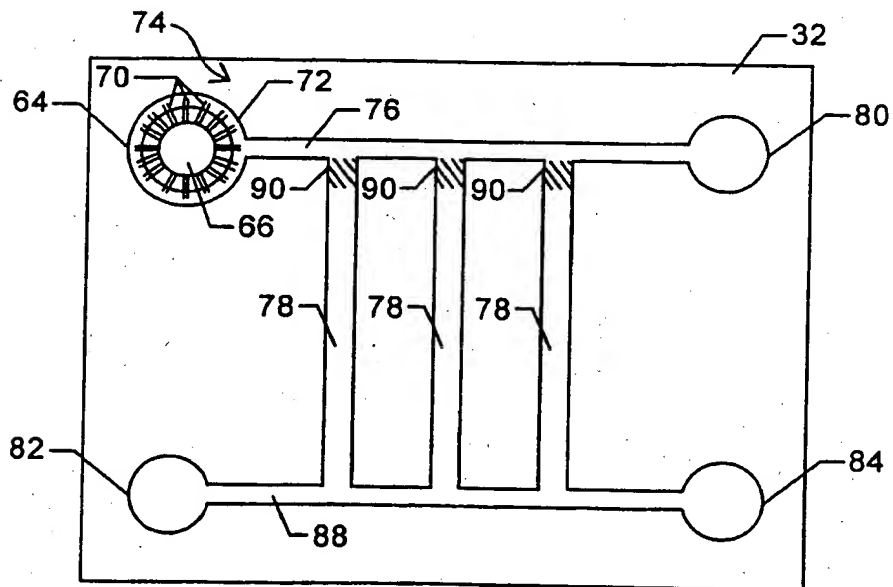


Fig. 7

4/4

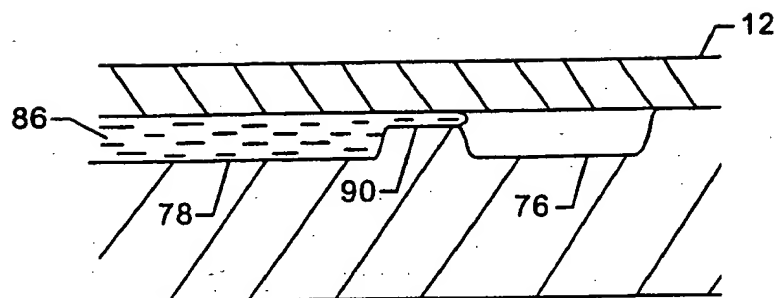


FIG. 8

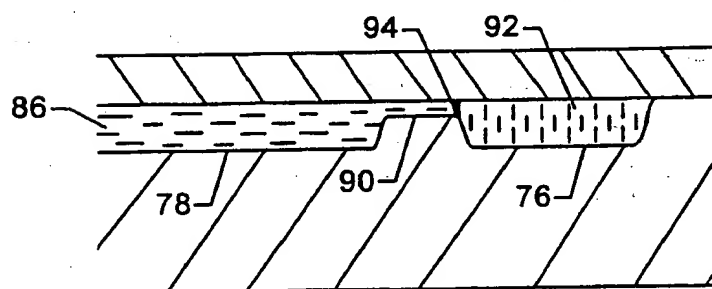


FIG. 9

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/11667

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :G01N 27/26, 27/447

US CL :204/451,601

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 204/450,451,452,453,454,455,600,601,602,603,604,605

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

USPAT, CAPLUS, WPIDS

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US 5,571,410 A (SWEDBERG ET AL) 05 November 1996 (05/11/96) see Fig. 8A and 8B; column 20, lines 10-65.	1-3, 10, 11 ----- 9, 13

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search	Date of mailing of the international search report
18 AUGUST 1998	24 SEP 1998

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231  
Facsimile No. (703) 305-3230

Authorized officer  
*B. Starniak*  
JOHN S. STARNIAK JR.  
Telephone No. (703) 308-0661